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8/12/99  
J.H.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 067286/204

In re patent application of:

James C. KENNEDY et al.

Group Art Unit: 1754

Serial No. 09/293,835

Examiner: not assigned yet

Filed: April 19, 1999

For: PHOTOCHEMOTHERAPEUTIC METHOD USING 5-AMINOLEVULINIC ACID  
AND OTHER PRECURSORS THEREOF

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

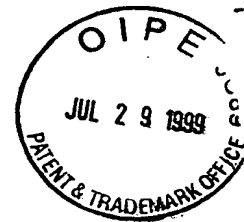
Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT

Applicants are submitting herewith on Form PTO-1449, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 08/465,242, filed June 5, 1995. The relevance of these documents is explained in the parent application.



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TC 1600 MAIL ROOM

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JUL 30 1999  
TC 1700 MAIL ROOM

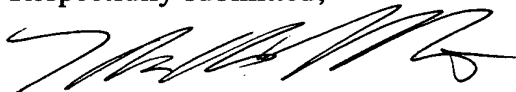
Serial No. 09/293,835

As provided in 37 C.F.R. § 1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 08/465,242 filed June 5, 1995.

Since this Information Disclosure Statement is being filed in compliance with 37 C.F.R. § 1.97(b) before the mailing of the first office action on the merits, no fee is required in connection with its filing.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialized copy of Form PTO-1449 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted,



Reg. No. 42,822

for

Sybil Meloy

Reg. No. 22,749

July 29, 1999

Date

FOLEY & LARDNER  
Suite 500  
3000 K Street, N.W.  
Washington, D.C. 20007-5109  
(202) 672-5300

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PATENT AND TRADEMARK OFFICE

**LIST OF REFERENCES CITED BY APPLICANT(S)**  
*(Use several sheets if necessary)*

ATTY DOCKET NO.  
67286/136

SERIAL NO.  
08/465,242

**APPLICANT**  
**James C. KENNEDY *et al.***

**FILING DATE**  
June 5, 1995

**GROUP  
1211**

Date Submitted to PTO: March 24, 1997

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	D01	WO 95/31189	11/23/95	PCT				
	D02	WO 94/06424	3/31/94	PCT				
	D03	WO 95/05813	3/2/95	PCT				
	D04	WO 93/20810	10/28/93	PCT				
	D05	WO 94/12239	6/9/94	PCT				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	D06	M. SAMSOEN	ARSENAL MEDICAMENTEUX DERMATOLOGIQUE, PGS. 603-606, ISSN. 0752-5376 (1995) (ABSTRACT)

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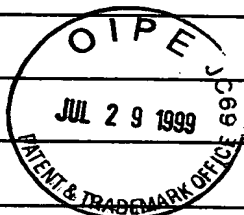
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PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
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Date Submitted to PTO: December 6, 1995

ATTY DOCKET NO.  
67286/136/DEUSSERIAL NO.  
08/465,242APPLICANT  
James C. KENNEDY et al.FILING DATE  
June 5, 1995GROUP  
Unassigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

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TELETYPE MAIL ROOM

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C1	Boehncke et al.	TREATMENT OF PSORIASIS BY TOPICAL PHOTODYNAMIC THERAPY WITH POLYCHROMATIC LIGHT.  <i>The Lancet</i> , 343:801, March 1994
C2	Fukuda et al.	PHOTODYNAMIC ACTION OF ENDOGENOUSLY SYNTHESIZED PORPHYRINS FROM AMINOLEVULINIC....  <i>Int. J. Biochem.</i> , 25:10:1395-98, 1993
C3	Loh et al.	ENDOGENOUS PORPHYRIN DISTRIBUTION-INDUCED BY 5-AMINOLAEVULINIC ACID....  <i>J. Photochem. Photobiol.</i> , 20:47-54, 1993
C4	van der Veen, et al.	IN VIVO FLUORESCENCE KINETICS AND PHOTODYNAMIC THERAPY USING 5-AMINOLAEVULINIC....  <i>Br. J. Cancer</i> , 70:867-72, 1994
C5	Grant et al.	PHOTODYNAMIC THERAPY OF NORMAL RAT ARTERIES AFTER PHOTOSENSITISATION USING....  <i>Br. J. Cancer</i> , 70:72-78, 1994

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PTO 1449 (modified)

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PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
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Date Submitted to PTO: November 15, 1995

ATTY DOCKET NO.  
67286/136/DEUS

SERIAL NO.  
08/465,242

APPLICANT  
James C. KENNEDY et al.

FILING DATE  
June 5, 1995

GROUP  
Unassigned

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	5,211,938	05/18/93	KENNEDY et al.	424	7.1	10/28/91
	B2	5,219,878	06/15/93	RINGUET et al.	514	414	12/16/91

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

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	B3	RUBINO et al.	"Porphyrin Metabolism In Human Neoplastic Tissues", Panminerva Medica, pp. 290-92

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PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
67286/136/DEUSSERIAL NO.  
08/465,242APPLICANT  
James C. KENNEDY et al.FILING DATE  
June 5, 1995GROUP  
Unassigned

Date Submitted to PTO: November 1, 1995

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	A4	5,234,940	08/93	KENNEDY et al.	514	410	
	A5	4,772,681	09/88	FUKUDA et al.	540	145	
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	A13	5,423,803	06/95	TANKOVICH et al.	606	9	

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	A14	0 233 701	08/87	EUROPE			
	A15	95/07077	03/95	WIPO			
	A16	93/08715	05/93	WIPO			
	A17	91/01727	02/91	WIPO			

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Date Submitted to PTO: November 1, 1995

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	A19		QIAN et al., "A Comparison Of Different Photosensitizing Dyes With Respect To Uptake C3H-Tumors and Tissues Of Mice", <i>Cancer Letts.</i> , Vol. 36:1-10, (1987)
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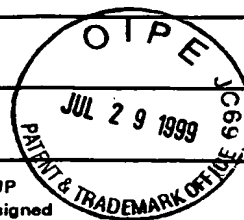
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Date Submitted to PTO: November 1, 1995

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June 5, 1995GROUP  
Unassigned

Date Submitted to PTO: November 1, 1995

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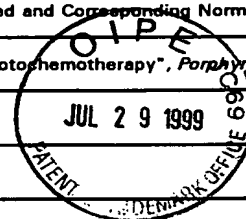
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June 5, 1995GROUP  
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Date Submitted to PTO: November 1, 1995

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			Skin Of Hairless Guinea Pigs", <i>J. Photochem. Photobiol. B: Biol.</i> , Vol. 15:239-251, (1992)
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EXAMINER			DATE CONSIDERED



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